

# WINJAMMER FILING

**INITIAL**

**End Date:12/19/2025**

**Firm Name:Wells Fargo Securities LLC**

**Form:Daily Seg - FOCUS II - Daily**

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**Daily Segregation - Cover Page**

Name of Company

**Wells Fargo Securities LLC**

Contact Name

**Timothy Connor**

Contact Phone Number

**215-764-7949**

Contact Email Address

**timothy.m.connor@wellsfargo.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer segregated funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer segregated funds required between:% and%.

**145,000,000**

**0**

**0 0**

**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer secured funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer secured funds required between:% and%.

**25,000,000**

**0**

**0 0**

**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of cleared swaps customer collateral required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of cleared swaps customer collateral required between:% and%.

**550,000,000**

**0**

**0 0**

**0 0**

Attach supporting documents CH

**INITIAL****End Date:12/19/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:12/22/2025****Daily Segregation - Secured Amounts**

## Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

- |    |                                                                                                           |                                               |
|----|-----------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers                           | <u>0</u> [7305]                               |
|    | A. Cash                                                                                                   | <u>165,298,265</u> [7315]                     |
|    | B. Securities (at market)                                                                                 | <u>180,385,660</u> [7317]                     |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade                 | <u>22,313,438</u> [7325]                      |
| 3. | Exchange traded options                                                                                   |                                               |
|    | a. Market value of open option contracts purchased on a foreign board of trade                            | <u>1,208</u> [7335]                           |
|    | b. Market value of open contracts granted (sold) on a foreign board of trade                              | <u>0</u> [7337]                               |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.)                                                             | <u>367,998,571</u> [7345]                     |
| 5. | Account liquidating to a deficit and account with a debit balances - gross amount                         | <u>3,524,684</u> [7351]                       |
|    | Less: amount offset by customer owned securities                                                          | <u>-3,482,810</u> [7352] <u>41,874</u> [7354] |
| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | <u>368,040,445</u> [7355]                     |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.            | <u>368,040,445</u> [7360]                     |

## FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

- |     |                                                                                                         |                                                   |
|-----|---------------------------------------------------------------------------------------------------------|---------------------------------------------------|
| 1.  | Cash in banks                                                                                           |                                                   |
|     | A. Banks located in the United States                                                                   | <u>134,831,313</u> [7500]                         |
|     | B. Other banks qualified under Regulation 30.7                                                          | <u>3,213,678</u> [7520] <u>138,044,991</u> [7530] |
| 2.  | Securities                                                                                              |                                                   |
|     | A. In safekeeping with banks located in the United States                                               | <u>82,798,408</u> [7540]                          |
|     | B. In safekeeping with other banks qualified under Regulation 30.7                                      | <u>0</u> [7560] <u>82,798,408</u> [7570]          |
| 3.  | Equities with registered futures commission merchants                                                   |                                                   |
|     | A. Cash                                                                                                 | <u>78,504,854</u> [7580]                          |
|     | B. Securities                                                                                           | <u>83,184,384</u> [7590]                          |
|     | C. Unrealized gain (loss) on open futures contracts                                                     | <u>-14,143,290</u> [7600]                         |
|     | D. Value of long option contracts                                                                       | <u>1,208</u> [7610]                               |
|     | E. Value of short option contracts                                                                      | <u>0</u> [7615] <u>147,547,156</u> [7620]         |
| 4.  | Amounts held by clearing organizations of foreign boards of trade                                       |                                                   |
|     | A. Cash                                                                                                 | <u>0</u> [7640]                                   |
|     | B. Securities                                                                                           | <u>0</u> [7650]                                   |
|     | C. Amount due to (from) clearing organization - daily variation                                         | <u>0</u> [7660]                                   |
|     | D. Value of long option contracts                                                                       | <u>0</u> [7670]                                   |
|     | E. Value of short option contracts                                                                      | <u>0</u> [7675] <u>0</u> [7680]                   |
| 5.  | Amounts held by members of foreign boards of trade                                                      |                                                   |
|     | A. Cash                                                                                                 | <u>67,253,949</u> [7700]                          |
|     | B. Securities                                                                                           | <u>14,402,867</u> [7710]                          |
|     | C. Unrealized gain (loss) on open futures contracts                                                     | <u>33,563,561</u> [7720]                          |
|     | D. Value of long option contracts                                                                       | <u>0</u> [7730]                                   |
|     | E. Value of short option contracts                                                                      | <u>0</u> [7735] <u>115,220,377</u> [7740]         |
| 6.  | Amounts with other depositories designated by a foreign board of trade                                  | <u>0</u> [7760]                                   |
| 7.  | Segregated funds on hand                                                                                | <u>0</u> [7765]                                   |
| 8.  | Total funds in separate section 30.7 accounts                                                           | <u>483,610,932</u> [7770]                         |
| 9.  | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | <u>115,570,487</u> [7380]                         |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts                             | <u>25,000,000</u> [7780]                          |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                      | <u>90,570,487</u> [7785]                          |

**INITIAL****End Date:12/19/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:12/22/2025****Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |                                                                                             |                                                     |
|-----|---------------------------------------------------------------------------------------------|-----------------------------------------------------|
| 1.  | Net ledger balance                                                                          |                                                     |
|     | A. Cash                                                                                     | <u>2,944,817,276</u> [7010]                         |
|     | B. Securities (at market)                                                                   | <u>4,631,537,243</u> [7020]                         |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <u>523,898,381</u> [7030]                           |
| 3.  | Exchange traded options                                                                     |                                                     |
|     | A. Add market value of open option contracts purchased on a contract market                 | <u>1,333,133,970</u> [7032]                         |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <u>-1,008,104,814</u> [7033]                        |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)                                                 | <u>8,425,282,056</u> [7040]                         |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <u>190,591,252</u> [7045]                           |
|     | Less: amount offset by customer securities                                                  | <u>-180,328,987</u> [7047] <u>10,262,265</u> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)                                        | <u>8,435,544,321</u> [7060]                         |
|     | FUNDS IN SEGREGATED ACCOUNTS                                                                |                                                     |
| 7.  | Deposited in segregated funds bank accounts                                                 |                                                     |
|     | A. Cash                                                                                     | <u>642,653,714</u> [7070]                           |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>300,000,000</u> [7080]                           |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>568,217,764</u> [7090]                           |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |                                                     |
|     | A. Cash                                                                                     | <u>1,888,741,228</u> [7100]                         |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>1,176,259,728</u> [7110]                         |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>4,063,319,479</u> [7120]                         |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <u>22,391,906</u> [7130]                            |
| 10. | Exchange traded options                                                                     |                                                     |
|     | A. Value of open long option contracts                                                      | <u>1,333,133,970</u> [7132]                         |
|     | B. Value of open short option contracts                                                     | <u>-1,008,104,814</u> [7133]                        |
| 11. | Net equities with other FCMs                                                                |                                                     |
|     | A. Net liquidating equity                                                                   | <u>0</u> [7140]                                     |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>0</u> [7160]                                     |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>0</u> [7170]                                     |
| 12. | Segregated funds on hand                                                                    | <u>0</u> [7150]                                     |
| 13. | Total amount in segregation (add lines 7 through 12)                                        | <u>8,986,612,975</u> [7180]                         |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <u>551,068,654</u> [7190]                           |
| 15. | Management Target Amount for Excess funds in segregation                                    | <u>145,000,000</u> [7194]                           |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <u>406,068,654</u> [7198]                           |
|     | Excess                                                                                      |                                                     |

**INITIAL****End Date:12/19/2025****Firm Name:Wells Fargo Securities LLC****Form:Daily Seg - FOCUS II - Daily****Submit Date:12/22/2025****Daily Segregation - Swaps Statement**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

|                                                                                                             |                                           |
|-------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| Cleared Swaps Customer Requirements                                                                         |                                           |
| 1. Net ledger balance                                                                                       |                                           |
| A. Cash                                                                                                     | <u>-6,168,157,103</u> [8500]              |
| B. Securities (at market)                                                                                   | <u>11,407,911,097</u> [8510]              |
| 2. Net unrealized profit (loss) in open cleared swaps                                                       | <u>11,233,408,787</u> [8520]              |
| 3. Cleared swaps options                                                                                    |                                           |
| A. Market value of open cleared swaps option contracts purchased                                            | <u>0</u> [8530]                           |
| B. Market value of open cleared swaps option contracts granted (sold)                                       | <u>0</u> [8540]                           |
| 4. Net Equity (deficit) (add lines 1, 2, and 3)                                                             | <u>16,473,162,781</u> [8550]              |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount                        | <u>92,412,964</u> [8560]                  |
| Less: amount offset by customer owned securities                                                            | <u>-92,412,963</u> [8570] <u>1</u> [8580] |
| 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)                         | <u>16,473,162,782</u> [8590]              |
| Funds in Cleared Swaps Customer Segregated Accounts                                                         |                                           |
| 7. Deposited in cleared swaps customer segregated accounts at banks                                         |                                           |
| A. Cash                                                                                                     | <u>1,009,793,544</u> [8600]               |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>600,000,000</u> [8610]                 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>1,095,708,427</u> [8620]               |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts |                                           |
| A. Cash                                                                                                     | <u>2,573,507,110</u> [8630]               |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>1,425,329,466</u> [8640]               |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>10,312,202,670</u> [8650]              |
| 9. Net settlement from (to) derivatives clearing organizations                                              | <u>235,616,177</u> [8660]                 |
| 10. Cleared swaps options                                                                                   |                                           |
| A. Value of open cleared swaps long option contracts                                                        | <u>0</u> [8670]                           |
| B. Value of open cleared swaps short option contracts                                                       | <u>0</u> [8680]                           |
| 11. Net equities with other FCMs                                                                            |                                           |
| A. Net liquidating equity                                                                                   | <u>0</u> [8690]                           |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>0</u> [8700]                           |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>0</u> [8710]                           |
| 12. Cleared swaps customer funds on hand                                                                    |                                           |
| A. Cash                                                                                                     | <u>0</u>                                  |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>0</u>                                  |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>0</u> [8715]                           |
| 13. Total amount in cleared swaps customer segregation (add lines 7 through 12)                             | <u>17,252,157,394</u> [8720]              |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)          | <u>778,994,612</u> [8730]                 |
| 15. Management Target Amount for Excess funds in cleared swaps segregated accounts                          | <u>550,000,000</u> [8760]                 |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management         | <u>228,994,612</u> [8770]                 |